PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 type a plus sign (+) inside this box -> |+| U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/623,908 -**TRANSMITTAL** Filing Date July 21, 2003 **FORM First Named Inventor** Paul J. Kawula (to be used for all correspondence after initial filing) 3731 Group Art Unit **Examiner Name** Unassigned Total Number of Pages in This Submission 13 Attorney Docket Number 50623.245 (excluding references) ENCLOSURES (check all that apply) Deposit Account Authorization **Assignment Papers** After Allowance Communication to 07-1850 (for an Application) Group Postage Paid Return Postcard Formal Drawing(s) Appeals and Interferences Appeal Communication to Group Response to Office Action Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Submission of Formal Drawings (in Affidavits/declaration(s) Provisional Application duplicate) Power of Attorney, Revocation Petition for Extension of Time (\_\_\_ Other Enclosure(s) Change of Correspondence Address month) (in duplicate) (please identify below): Terminal Disclaimer Amendment Transmittal Letter (in duplicate) Request for Refund with Form PTO-1449 and 84 CD, Number of CD(s) \_ References Request for Continued Examination Remarks (RCE) Transmittal Express Mail Label No. Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, TORNEY, OR AGENT Firm Squire, Sanders Demp 3.066 Individual name Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: Typed or printed name Dilorant laddad Signature March > Date

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## NAME OF THE SENTED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Unassigned

Paul J. Kawula

Serial No.

10/623,908

Art Unit:

3731

Filed:

July 21, 2003

Title:

Porous Glass Fused Onto Stent for Drug Retention

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

## Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. *See*, 1276 Off. Gaz. Pat. Office 55. Since this patent application was filed after June 30, 2003, Applicant has not provided copies of the cited U.S. patents or the U.S. Patent Application Publications. Copies of the cited foreign patent documents and non-patent literature have been submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

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The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: March **2**, 2004

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Respectfully submitted,

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Reg. No. 43,066

3731

FORM PTO-1449 (Modified)

Approved for use through 10/3 (2002

DEPARTMENT OF COMMERCE Docket No.

US Patent and Trademark Office

Docket No. Application No. 10/623,908

INFORMATION DISCLOSURE CITATION in an Application

Applicant

Paul J. Kawula

(Use several sheets if necessary)

Filing Date Group Art Unit

July 21, 2003 3731							
	·		U.S. PATE	ENT DOCUMENTS	·		
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	4,329,383	5/11/82	Joh	428	36	7/21/80
	A2	4,459,252	07/10/84	MacGregor	264	46.9	·
	А3	4,733,665	3/29/88	Palmaz	128	343	11/7/85
	A4	4,800,882	1/31/89	Gianturco	128	343	3/13/87
	A5	4,882,168	11/21/89	Casey et al.	424	468	9/5/86
	A6	4,886,062	12/12/89	Wiktor	128	343	10/19/87
	A7	4,941,870	7/17/90	Okada et al.	600	36	12/30/88
-	A8	4,977,901	12/18/90	Ofstead	128	772	4/6/90
	A9	5,059,211	10/22/91	Stack et al.	606	198	
	A10	5,112,457	5/12/92	Marchant	204	165	7/23/90
	A11	5,163,952	11/17/92	Froix	623	1	
	A12	5,165,919	11/24/92	Sasaki et al.	424	488	9/26/90
	A13	5,272,012	12/21/93	Opolski	428	423.1	1/29/92
	A14	5,292,516	3/8/94	Viegas et al.	424	423	11/8/91
	A15	5,298,260	3/29/94	Viegas et al.	424	486	6/9/92
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· · · · · · · · · · · · · · · · · · ·	A17	5,306,501	4/26/94	Viegas et al.	424	423	11/8/91
	A18	5,306,286	04/26/94	Stack et al.	606	198	
	A19	5,328,471	7/12/94	Slepian	604	101	8/4/93
	A20	5,330,768	7/19/94	Park et al.	424	501	7/5/91
	A21	5,380,299	1/10/95	Fearnot et al.	604	265	8/30/93
	A22	5,417,981	5/23/95	Endo et al.	424	486	4/28/93
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	A24	5,455,040	10/3/95	Marchant	424	426	11/19/92
	A25	5,462,990	10/31/95	Hubbell et al.	525	54.1	10/5/93
	A26	5,464,650	11/7/95	Berg et al.	427	2.30	4/26/93

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	A28	5,569,463	10/29/96	Helmus et al.	424	426	6/7/95
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	A30	5,605,696	2/25/97	Eury et al.	424	423	3/30/95
	A31	5,609,629	3/11/97	Fearnot et al.	623	1	6/7/95
•	A32	5,624,411	4/29/97	Tuch	604	265	6/7/95
	A33	5,628,730	5/13/97	Shapland et al.	604	21	7/18/94
	A34	5,649,977	7/22/97	Campbell	623	1	9/22/94
	A35	5,658,995	8/19/97	Kohn et al.	525	432	11/27/95
	A36	5,667,767	9/16/97	Greff et al.	424	9.411	7/27/95
	A37	5,670,558	9/23/97	Onishi et al.	523	112	7/6/95
	A38	5,679,400	10/21/97	Tuch	427	2.14	6/7/95
	A39	5,700,286	12/23/97	Tartaglia et al.	623	1	
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	A41	5,702,754	12/30/97	Zhong	427	2.12	2/22/95
	A42	5,713,949	02/03/98	Jayaraman	623	1	
	A43	5,716,981	2/10/98	Hunter et al.	514	449	6/7/95
	A44	5,735,897	4/7/98	Buirge	623	12	1/2/97
	A45	5,746,998	5/5/98	Torchilin et al.	424	9.4	8/8/96
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	A47	5,769,883	06/23/98	Buscemi et al.	623	1	· · · · · ·
	A48	5,776,184	7/7/98	Tuch	623	1	10/9/96
	A49	5,788,979	8/4/98	Alt et al.	424	426	2/10/97
	A50	5,800,392	9/1/98	Racchini	604	96	5/8/96
	A51	5,820,917	10/13/98	Tuch	427	2.1	6/7/95
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	A56	5,837,313	11/17/98	Ding et al.	427	2.21	6/13/96
	A57	5,843,172	12/01/98	Yan	623	1	
	A58	5,851,508	12/22/98	Greff et al.	424	9.411	2/14/97

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	A59	5,858,746	1/12/99	Hubbell et al.	435	177	1/25/95
<del></del>	A60	5,865,814	2/2/99	Tuch	604	265	8/6/97
	A61	5,869,127	2/9/99	Zhong	427	2.12	6/18/97
	A62	5,873,904	02/23/99	Ragheb	623	1	
	A63	5,873,904	2/23/99	Ragheb et al.	623	1	2/24/97
	A64	5,876,433	3/2/99	Lunn	623	1	5/29/96
	A65	5,877,224	3/2/99	Brocchini et al.	514	772.2	7/28/95
<del></del>	A66	5,925,720	7/20/99	Kataoka et al.	525	523	12/18/97
	A67	5,955,509	9/21/99	Webber et al.	514	772.7	4/23/97
	A68	5,971,954	10/26/99	Conway et al.	604	96	1/29/97
	A69	5,980,928	11/9/99	Terry	424	427	7/29/97
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•	A71	5,997,517	12/7/99	Whitbourne	604	265	1/27/97
	A72	6,010,530	1/4/00	Goicoechea	623	1	2/18/98
	A73	6,015,541	1/18/00	Greff et al.	424	1.25	11/3/97
	A74	6,033,582	3/7/00	Lee et al.	216	37	1/16/98
	A75	6,042,875	3/28/00	Ding et al.	427	2.24	3/2/99
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	A78	6,056,993	5/2/00	Leidner et al.	427	2.25	4/17/98
	A79	6,060,451	5/9/00	DiMaio et al.	514	13	3/20/95
	A80	6,060,518	5/9/00	Kabanov et al.	514	781	8/16/96
	A81	6,080,488	6/27/00	Hostettler et al.	428	423.3	3/24/98
	A82	6,096,070	8/1/00	Ragheb et al.	623	1	5/16/96
	A83	6,099,562	8/8/00	Ding et al.	623	1.46	12/22/97
	A84	6,110,188	8/29/00	Narciso, Jr.	606	153	3/9/98
	A85	6,110,483	8/29/00	Whitbourne et al.	424	423	6/23/97
	A86	6,113,629	9/5/00	Ken	623	1.1	5/1/98
	A87	6,120,536	9/19/00	Ding et al.	623	1.43	
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	A90	6,120,904	9/19/00	Hostettler et al.	428	423.3	5/24/99
	A91	6,121,027	9/19/00	Clapper et al.	435	180	8/15/97

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	A93	6,153,252	11/28/00	Hossainy et al.	427	2.3	4/19/99
	A94	6,165,212	12/26/00	Dereume et al.	623	1.13	6/28/99
•	A95	6,203,551	3/20/01	Wu	606	108	10/4/99
	A96	6,206,915	3/27/01	Fagan et al.	623	1.42	
	A97	6,231,600	5/15/01	Zhong	623	1.42	5/26/99
	A98	6,240,616	6/5/01	Yan	29	527.2	4/15/97
:	A99	6,245,753	6/12/01	Byun et al.	514	56	4/27/99
	A100	6,251,136	6/26/01	Guruwaiya et al.	623	1.46	12/8/99
	A101	6,254,632	7/3/01	Wu et al.	623	1.15	9/28/00
	A102	6,258,121	7/10/01	Yang et al.	623	1.46	7/2/99
-	A103	6,283,947	9/4/01	Mirzaee	604	264	7/13/99
	A104	6,283,949	9/4/01	Roorda	604	288.02	12/27/99
	A105	6,284,305	9/4/01	Ding et al.	427	2.28	5/18/00
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	A107	6,299,604	10/9/01	Ragheb et al.	604	265	8/20/99
	A108	6,306,176	10/23/01	Whitbourne	623	23.59	9/21/99
	A109	6,331,313	12/18/01	Wong et al.	424	427	10/22/99
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	A111	6,346,110	2/12/02	Wu	606	108	1/3/01
	A112	6,358,556	3/19/02	Ding et al.	427	2.24	1/23/98
	A113	6,379,381	4/30/02	Hossainy et al.	623	1.42	9/3/99
	A114	6,395,326	5/28/02	Castro et al.	427	2.24	5/31/00
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	A116	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/00
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	A121	6,527,801	3/4/03	Dutta	623	1.46	4/13	3/00
· · · · · ·	A122	6,527,863	3/4/03	Pacetti et al.	118	500	6/29	9/01
	A123	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/2	8/00
• .	A124	6,544,223	4/8/03	Kokish	604	103.01	1/5	/01
	A125	6,544,543	4/8/03	Mandrusov et al.	424	422	12/2	7/00
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_	A128	6,558,733	5/6/03	Hossainy et al.	427	2.24	10/2	6/00
	A129	6,565,659	5/20/03	Pacetti et al.	118	500	6/28	 3/01
	A130	6,572,644	6/3/03	Moein	623	1.11	6/27	7/01
	A131	6,585,765	7/1/03	Hossainy et al.	623	1.45	6/29	9/00
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Initial		Number	Publication					priate
	A134	2001/0018469	8/30/01	Chen et al.	523	121	12/2	8/00
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Examiner	Ref. No.	Document	Date of	Country	Class	Subclass	Trans	lation
Initial		Number	Publication				Yes	No
	B1	EP 0 301 856	2/1/89	European			_	
	B2	WO 90/01969	03/08/90	PCT				
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-	B19	WO 98/17331 ,	4/30/98	PCT			
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	B37	WO 00/64506	11/2/00	PCT			
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	B39	WO 01/15751	3/8/01	PCT				
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	B47	WO 02/34311	5/2/02	PCT				
•	B48	WO 02/056790	7/25/02	PCT				
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	B51	WO 03/022323	3/20/03	PCT				
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